## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: James C. Hamilton

Serial No.: Not available Filed: July 11, 2003

For: Hulling Apparatus and Method

Group No.: Examiner:

Not available Not available

Mail Stop PATENT APPLICATION **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

> TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR **BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

### IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

Reg. No. 39,014

Tel. No.: (559) 447-1862

Customer No.: 29762

Richard A. Ryan

Ryan & Engnath

8469 N. Millbrook Ave., Suite 104

Fresno, CA 93720

### CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

deposited with the United States Postal Service in an envelope addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. 1.8(a)

☐ with sufficient postage as first class mail.

37 C.F.R. 1.10\*

as "Express Mail Post Office to Addressee"

Mailing Label No. EU190164728US

Richard A. Ryan, PTO #29.014

(type or print name of person certifying)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: James C. Hamilton

Serial No.: Not available Filed: July 11, 2003

Group No.: Examiner:

Not available Not available

For: Hulling Apparatus and Method

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

## List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. Preliminary Statements
- Forms PTO/SB/08A (substitute for Form PTO-1449) 2.
- Copies of Listed Information Items Accompanying This Statement 3.
- Identification of Person(s) Making This Information Disclosure Statement 4.

### CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

I hereby certify that, on the date shown below, this correspondence is being:

# **MAILING**

X

 $\Box$ 

deposited with the United States Postal Service in an envelope addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. 1.8(a)

37 C.F.R. 1.10\*

with sufficient postage as first class mail. X

as "Express Mail Post Office to Addressee" Mailing Label No. <u>EU190164728US</u>

TRANSMISSION

transmitted by facsimile to the Patent and Trademark Office.

Date: 7/11/03

Richard A. Ryan, PTO # 39,014

(type or print name of person certifying)

(Information Disclosure Statement—page 1 of 4)

# Section 1. Preliminary statements

Inventor: James C. Hamilton

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Forms PTO/SB/08A (substitute for Form PTO-1449)

Please type a plus sign (+) inside this box 🔫	+
---	---

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO			Complete if Known			
			Application Number			
INF	ORMATION I	DISCLOSURE	Filing Date	07/11/2003		
STA	ATEMENT BY	' APPLICANT	First Named Inventor	James C. Hamilton		
			Group Art Unit			
(use as many sheets as necessary)			Examiner Name			
Sheet	1	of 1	Attorney Docket Number	RAR461.01		

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent I Number	Kind Code <sup>2</sup>	of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	6,561,445	B2	Yamamoto, et al.	05/13/2003		
	2	5,431,094		Savage, Sr.	07/11/1995		
	3	5,329,845		Bichel	07/19/1994		
	4	2,147,022		Formway	02/14/1939		
	5	2,094,880		Formway	10/05/1937		
	6	1,388,026		Conner	08/16/1921		
	7	831,663		Grohens	09/25/1906		
	8	505,002		Read	09/12/1893		
	9	313,984		Burckhardt	03/17/1885		
		****					
				· · · · · · · · · · · · · · · · · · ·	<del> </del>		
					<del>                                     </del>		

FOREIGN PATENT DOCUMENTS								
Examiner Initials*		Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	П
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
								Ш
								igspace
								igspace
		<del>                                     </del>		<del>  </del>	<del></del>	<del>     </del>	<del></del>	╁┷┤
		<del>                                     </del>						+
						<del>                                     </del>	<del></del>	1
			<del></del>			<del>-</del>		$\Box$

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

# Section 3. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

# Section 4. Identification of Person Making This Information Disclosure Statement

The person making this certification is the practitioner who signs below on the basis of the information supplied by the inventor(s) and in the practitioner's file.

Reg. No.: 39,014

Tel. No.: (559) 447-1862

Customer No.: 29762

Richard A. Ryan

Ryan & Engnath

8469 N. Millbrook Ave., Suite 104

Fresno, CA 93720